

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Bettina MOECKEL et al.

SERIAL NO: 09/919,932

GAU:

FILED: August 2, 2001

EXAMINER:

FOR: NUCLEOTIDE SEQUENCES WHICH CODE FOR THE METY GENE

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/98)

Norman F. Oblon
Registration No. 24,618

Daniel J. Pereira, Ph.D.
Registration No. 45,518

Re: In re Application of: Bettina MOECKEL et al.
Serial No.: 09/919,932
Filing Date: August 2, 2001
For: NUCLEOTIDE SEQUENCES WHICH CODE FOR
THE METY GENE

STATEMENT OF RELEVANCY

Reference **AO** on form 1449:

Applicants wish to particularly point out the disclosure of SEQ ID NO: 247 on page

360.

COPY

OSMM&N File No. 211707US0X

Dept.: -C-

By: NFO:DJP:mms

Serial No. 09/919.932

In the matter of the Application of: Bettina MOECKEL et al.

For: NUCLEOTIDE SEQUENCES WHICH CODE FOR THE METY GENE

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- ☐ _____ pp. Specification _____ Claims/Drawing _____ Sheets
and _____ pages Application Data Sheet
- ☐ Combined Declaration, Petition & Power of Attorney (____ pp.,)
- ☐ List of Inventor Names and Addresses
- ☐ Utility Patent Application Transmittal ☐ CPA
- ☐ Notice of Priority ☐ Priority Doc
- ☐ Check for ☒ Dep. Acct. Order Form
- ☐ Fee Transmittal Form
- ☐ Assignment/Form PTO 1595 pages: _____
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings _____ sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement ☒ Form PTO-1449
- ☒ Cited References (5)
- ☐ Search Report
- ☒ Statement of Relevancy ☐ Cited Pending Applications
- ☐ IDS/Related/List of Related Cases
- ☐ Restriction Response ☐ Election Response
- ☐ Declaration under 37 C.F.R. §1.132
- ☐ Request for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☐ White Advance Serial Number Card
- ☐ Small Entity Status is Claimed

DUE DATE: 11/16/01

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
211707US0XSERIAL NO.
09/919,932

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Bettina MOECKEL et al.

FILING DATE
August 2, 2001

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO	
	AO	WO 01/00843	01/04/01	WIPO		
	AP	WO 01/00845	01/04/01	WIPO		
	AQ	WO 01/00802	01/04/01	WIPO		
	AR	WO 01/00847	01/04/01	WIPO		
	AS	EP 1108790 A2	06/20/01	EUROPE		
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.